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PATENT APPLICATION OF

Susan P. Beacham

SERIAL NO.: 09/825,714

FILED: April 5, 2001

FOR: METHOD AND SYSTEM FOR

ENABLING TEENAGERS TO MAKE STOCK MARKET TRANSACTIONS VIA THE INTERNET WITH ADULT

AUTHROIZATION

BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Technology Center 2100

Group Art Unit: 2164

Examiner:

## INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Submitted herewith on Form PTO/SB/08A is a listing of references known to applicant and/or her attorney in compliance with the requirements of 37 C.F.R. 1.56.

Applicant believes that none of the references submitted herewith, alone or in combination, discloses or suggests the invention claimed by applicant in the present invention. Applicant seeks to have these references available in the record for both the Examiner and the public to see.

Applicant specifically reserves all rights of privilege and confidentiality with respect to the application. Submission of this document is not to be construed as a waiver of these rights. Submission of references is without admission that any has an effective date prior to applicant's effective date of invention.

Respectfully submitted,

Farold of Harold J Fassnacht

Req. No. 35,507

Attorney for Applicant

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Dated: ( uly 5, 2001 Serial No. 09/825,714

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## **CERTIFICATE OF MAILING**

Pursuant to 37 CFR Section 1.10, the undersigned certifies that this communication was partially sited in the U.S. Postal Service on July 5, 2001, and addressed to:

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Con	plete if Known						
Application Number							
Filing Date	4/5/01						
First Named Inventor	Beacham						
Group Art Unit	2164						
Examiner Name							
Attorney Docket Number	MSG1						

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	U.S. Patent I	Cocument Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		5934674		Bukowsky	08-10-1999	OFWED			
		6032141		O'Connor et al.	02-29-2000	HECEIVED			
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	FOREIGN PATENT DOCUMENTS									
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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